37-38

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Gil M. VARDI et al.

Title:

**Extendible Stent Apparatus** 

Appln. No.:

09/600,348

Filed:

01/07/2002

Examiner:

Thomas Sweet

Art Unit:

3738

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This supplemental Information Disclosure Statement supplements the Information Disclosure Statement filed January 14, 2004. In accordance with 37 C.F.R. § 1.56, the attention of the Patent and Trademark Office is directed to the cited references listed on the attached PTO/SB/08.

Many of the cited references were previously cited in the subject application and the parent applications. In accordance with 37 C.F.R. 1.98(d), no copies of these references are submitted herewith.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Office Action or Notice of Allowance.

Accordingly, payment of the fee of \$180.00 under 37 C.F.R. § 1.17(p) is submitted herewith. Further, the Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-2228.

The following listed United States patents and published patent applications are listed on the attached PTO/SB/08 but were not submitted previously in the subject

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03/17/2004 LWONDIM1 00000093 09600348

Attorney Docket No.: 021617.0200PTUS Application No.: 09/600,348

application or in the parent applications. Copies of the following United States patents and publications are not enclosed:

3,657,444; Ersek	4,309,994; Grunwald
4,453,545; Inoue	4,731,055; Melinyshyn
4,819,664; Nazari	4,878,495; Grayzel
4,906,244; Pinchuk	4,909,258; Kuntz
5,059,177; Towne	5,102,417; Palmaz
5,117,831; Jang	5,135,536; Hillstead
5,159,920; Condon	5,176,617; Fischell
5,257,974; Cox	5,263,932; Jang
5,282,472; Companion	5,324,257; Osborne
5,338,300; Cox	5,342,297; Jang
5,383,892; Ansel	5,395,332; Ressemann
5,395,334; Keith	5,413,581; Goy
5,456,694; Marin	5,505,702; Arney
5,549,553; Ressemann	5,549,554; Miraki
5,562,620; Klein	5,571,087; Ressemann
5,575,771; Walinsky	5,591,228; Edoga
5,609,625; Piplani	6,609,629; Fearnot
6,613,949; Miraki	5,626,600; Korzewski
5,632,772; Alcime	5,662,614; Edoga
5,679,400; Tuch	5,690,642; Osborne
5,718,583; Ressemann	5,724,977; Yock
5,728,158; Lau	5,746,766; Edoga
5,749,848; Jang	5,776,101; Goy
5,800,450; Lary	5,814,061; Osborne
5,817,126; Imran	5,824,008; Bolduc
5,833,650; Imran	5,837,008; Berg
5,843,160; Rhodes	5,843,164; Frantzen

Attorney Docket No.: 021617.0200PTUS Application No.: 09/600,348

5,846,204; Solomon	5,855,600; Alt
5,871,537; Holman	5,891,133; Murphy-Chutorian
5,921,958; Ressemann	5,928,248; Acker
5,948,016; Jang	5,951,599; McCrory
5,967,986; Cimochowski	6,007,517; Anderson
6,013,054; Juin Yan	6,045,557; White
6,059,823; Holman	6,059,824; Taheri
6,071,285; Lashinski	6,090,128; Douglas
6,142,973; Carleton	6,165,197; Yock
6,190,403; Fischell	6,210,429; Vardi
6,231,598; Berry	5,231,600; Zhong
6,235,051; Murphy	6,241,762; Shanley
6,258,121; Yang	6,273,913; Wright
6,293,967; Shanley	6,299,634; Bergeron
6,309,414; Rolando	6,312,459; Huang
6,325,826; Vardi	6,383,215; Sass
6,395,018; Castaneda	6,398,792; O'Connor
6,527,799; Shanley	6,572,647; Supper
6,599,316; Vardi	2001/0027338; Greenberg
2002/0013619; Shanley	2002/0042650; Vardi
2002/0052648; McGuckin	2002/0072790; McGuckin
2002/0111675; Wilson	2002/0151959; Von Oepen
2002/0156516; Vardi	2002/0156517; Perouse
2002/0165604; Shanley	2002/0173835; Bourang
2002/0193872; Trout	2003/0009214; Shanley
2003/0014102; Hong	2003/0125799; Limon
2004/0015227; Vardi	

The following listed foreign references are listed on the attached PTO/SB/08 but were not submitted previously in the subject application or in a parent application.

Attorney Docket No.: 021617.0200PTUS Application No.: 09/600,348

Accordingly, copies of the following foreign references are submitted herewith on the attached CD-Rom:

CA 2,318,314; 07/22/1999	EP 684022; 11/24/1995
EP 884028; 12/16/1998	EP 891751; 01/20/1999
EP 897698; 02/24/1999	WO 00/74595; 12/14/2000
WO 01/21095; 03/29/2001	WO 01/21109; 03/29/2001
WO 01/21244; 03/29/2001	WO 01/70299; 09/27/2001
WO 02/68012; 09/06/2002	WO 02/76333; 10/03/2002
WO 02/94336; 11/28/2002	WO 03/55414; 07/10/2003
WO 90/13332; 11/15/1990	WO 91/12779; 09/05/1991
WO 95/21592; 08/17/1995	WO 97/09946; 03/20/1997
WO 97/16217; 05/09/1997	WO 97/26936; 07/31/1997
WO 98/37833; 09/03/1998	WO 99/34749; 07/15/1999
WO 99/36002; 07/22/1999	WO 99/58059; 11/18/1999

The following listed articles are listed on the attached PTO/SB/08 but were not submitted previously in the subject application or in a parent application. Accordingly, copies of the following articles are submitted herewith on the attached CD-Rom:

Dichek, et al.; Circulation, 80: 1347-1353 (1989)	
Chevalier, et al.; American Journal of Cardiology, 82: 943-949 (1998)	

Attorney Docket No.: 021617.0200PTUS

Application No.: 09/600,348

Yamashita, et al.; Journal of American College of Cardiology, 35: 1145-1151 (2000)

Satler, et al.; Catheterization and Cardiovascular Interventions, 50: 411-412 (2000)

No representation is made or intended that more relevant information does not exist or that the order of presentation of the references in any way reflects their relative pertinence. Additionally, submission of references herewith in no way constitutes an admission by Applicants that the submitted references constitute prior art to the present application.

Applicants respectfully request that each of the cited references be expressly considered during the prosecution of this application and that the cited references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Respectfully submitted,

Customer No. 32042

Telephone: (703) 744-7907 Facsimile: (703) 744-8001

Michele Van Patten Frank Registration No. 37,028

PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

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\$)	180

	Complete If Known	
Application Number	09/600,348	
Filing Date	01/07/2002	
First Named Inventor	Gil M. VARDI	
Examiner Name	Thomas Sweet	
Art Unit	3738	
Attorney Docket No.	021617.0203PTUS	

METHOD OF PAYMENT (check all that apply)			that apply)					ALCULATION (continued)	
☑ Check ☐ C	redit card	☐ Money ☐ O	ther None		DITIO	ı			
☐ Deposit Accou	nt:	Older		Large	Entity	Small I	Entity		
Deposit				Fee Code	Fee (\$)	Fee Code	Fee (\$)	·	Fee Paid
Account	50-2228			1051	130	2051	65	Surcharge - late filing fee or oath	
Number				1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit				1053	130	1053	130	Non-English specification	
Account Name				1812	2,520	1812	2,520	For filing a request for reexamination	
	uthorized to	o: (check all that a	 oply)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
☐ Charge any add	ditional fee(		ency of this application	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
		low, except for the	e filing fee	1251	110	2251	55	Extension for reply within first month	
to the above-identi		E CALCULATION		1252	420	2252	210	Extension for reply within second month	
1. BASIC FI	LING FEE			1253	950	2253	475	Extension for reply within third month	
Large Entity 5	Small Entity			1254	1,480	2254	740	Extension for reply within fourth month	
	ee Fee ode (\$)	Fee Descriptio	<u>n</u> Fee Paid	1255	2,010	2255	1,005	Extension for reply within fifth month	
(**/	001 385	Utility filing fee	7 00 7 4.10	1401	330	2401	165	Notice of Appeal	
	002 170	<b>,.</b>		1402	330	2402	165	Filing a brief in support of an appeal	
	003 265	- 0	·	1403	290	2403	145	Request for oral hearing	
1004 770 2	004 385	Reissue filing fe		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160 2	005 80	Provisional fillin	g fee	1452	110	2452	55	Petition to revive – unavoidable	
	SUBTO	OTAL (1)	(\$) 0	1453	1.330	2453	665	Petition to revive – unintentional	
				1501	1,330	2501	665	Utility issue fee (or reissue)	<del>                                     </del>
2. EXTRA CLA	IM FEES	FOR UTILITY A	ND REISSUE	1502	480	2502	240	Design issue fee	
			Fee from Fee	1503	640	2503	320	Plant issue fee	
Total Claims	-20 **		pelow Paid = 0	1460	130	1460	130	Petitions to the Commissioner	
<u></u>		= 0 X	= 0	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Independent Claims	-3 **	= 0 X	= 0	1806	180	1806	180	Submission of Information Disclosure Stmt	180
Multiple Dependent		x [	= 0	8021	40	8021	40	Recording each patent assignment per property (times number of	
Large Entity	Small E	ntity		1				properties)	
Fee Fee	Fee	Fee Fee Descr	ntion	1809	770	2809	385	Filing a submission after final rejection	
Code (\$)	Code	(*)						(37 CFR § 1.129(a))	
1202 18	2202		xcess of 20	1810	770	2810	385	For each additional invention to be	
1201 86	2201	•	nt claims in excess of 3					examined (37 CFR § 1.129(b))	<b></b>
1203 290	2203	•	pendent claim, if not paid	1801	770	2801	385	Request for Continued Examination (RCE)	
1204 86	2204	original pat		1802	900	1802	900	Request for expedited examination of a design application	
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SUBMITTED BY								Complete (if applicable)	

SUBMITTED BY				Con	nplete (if applicable)
Name (Print/Type)	Michele Van Patten Frank	Registration No. (Attorney/Agent)	37,028	Telephone	(703) 744-8000
Signature	much with	R		Date	March 16, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Paternat of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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number.							IPA
		Substitute for for	m 1449B/PT	Ö		omplete if Known	706
	IN	IFORMATION I	DISCLOSU	IRE	Application Number	09/600,348	/ 3
	S	TATEMENT BY	/ APPLICA	NT	Filing Date	January 7, 2002	MAR 1 6 2004
Date Submitted: <u>March 16, 2004</u>				004	First Named Inventor	Gil M. VARDI	2
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	(use	as many shee	ts as neces	ssary)	Examiner Name	Thomas Sweet	PADEMARK
Sheet		1	of	12	Attorney Docket Number	021617/0203PTUS	

				U.S. PATENT DOCUMENTS		
Examiner (Initials*	Cite	U.S. Patent Document		Name of Patentee or Applicant of	Date of Publication of	Pages, Columns, Lines, Where Relevant
	No. <sup>1</sup>	Number	Kind Code <sup>2</sup> (if known)	Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear
		1,596,754		J.D. MOSCHELLE	08/17/1926	
		3,657,744		ERSEK	04/25/1972	
		3,872,893		ROBERTS	03/25/1975	
		4,140,126		CHOUDHURY	02/20/1979	
		4,309,994		GRUNWALD	01/12/1982	
•		4,410,476		REDDING et al.	10/18/1983	
		4,413,989		SCHJELDAHL	11/08/1983	7
		4,421,810		RASMUSSEN	12/20/1983	
		4,453,545		INOUE	06/12/1984	
		4,503,569		DOTTER	03/12/1985	
		4,552,554		GOULD et al.	11/12/1985	
		4,681,570		DALTON	07/21/1987	
		4,689,174		LUPKE	08/25/1987	
		4,731,055		MELINYSHYN et al.	03/15/1988	
		4,733,665		PALMAZ	03/29/1988	
		4,739,762		PALMAZ	04/26/1988	
		4,742,128		ROSENBLUTH	08/09/1988	
		4,759,748		REED	07/26/1998	
		4,762,128		ROSENBLUTH	12/22/1998	
		4,769,029		PATEL	09/06/1988	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \
		4,819,664		NAZARI	04/11/1989	
		4,872,874		TAHERI	10/10/1989	
		4,878,495		GRAYZEL	11/07/1989	
		4,896,670		CRITTENDEN	01/30/1990	
		4,900,314		QUACKENBUSH	02/13/1990	
		4,906,244		PINCHUK et al.	03/06/1990	
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		4,957,501		LAHILLE et al.	09/18/1990	
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		4,983,167		SAHOTA	01/08/1991	
		4,994,071		MACGREGOR	02/19/1991	
		5,042,976		ISHITSU et al.	08/27/1991	
		5,054,501		CHUTTANI et al.	10/08/1991	
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		5,061,240		CHERIAN	10/29/1991	
		5,064,435		PORTER	11/12/1991	
		5,102,403		ALT	04/07/1992	

	·	
Examiner	Date	
Signature	Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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	Substitute for fo	rm 1449B/PT	0		Complete if Known	OIPE
	INFORMATION	DISCLOSU	RE	Application Number	09/600,348	- 6
	STATEMENT B	Y APPLICA	NT	Filing Date	January 7, 2002	MAR 1 6 2004
D	ate Submitted:	March 16 20	204	First Named Inventor	Gil M. VARDI	- 1 0 ZUU4
Da	ate Submitted	IVIAICII 10, 20	<del>504</del>	Group Art Unit	3738	ê s
(u	se as many she	ets as neces	ssary)	Examiner Name	Thomas Sweet	Te - At
Sheet	2	of	12	Attorney Docket Number	021617/0203PTUS	RADEMAN

	U.S. PATENT DOCUMENT	S	
U.S. Patent Document			
5,102,417	PALMAZ	04/07/1992	
 5,104,404	WOLFF	04/14/1992	
5,117,831	JANG	06/02/1992	
5,122,125	DEUSS	06/16/1992	
5,135,536	HILLSTEAD	08/04/1992	
 5,147,317	SHANK et al.	09/15/1992	
 5,159,920	CONDON ET AL.	11/03/1992	
 5,176,617	FISCHELL et al.	01/05/1993	
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 5,282,472	COMPANION et al.	02/01/1994	
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 5,337,733	BAUERFEIND et al.	08/16/1994	
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 5,383,892	ANSEL	01/24/1995	
5,387,235	CHUTER	02/07/1995	
5,395,332	RESSEMANN et al.	03/07/1995	
 5,395,334	KEITH et al.	03/07/1995	
5,404,887	PRATHER	04/11/1995	
5,409,458	KHAIRKHAHAN et al.	04/25/1995	
 5,413,581	GOY	05/09/1995	
5,413,586	DIBIE et al.	05/09/1995	
 5,417,208	WINKLER	05/23/1995	
5,437,638	BOWMAN	08/01/1995	
5,443,497	VENBRUX	08/22/1995	
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 5,449,373	PINCHASIK et al.	09/12/1995	
5,449,382	DAYTON	09/12/1995	
 5,449,362	MARIN et al.	10/10/1995	
 5,456,712	MAGINOT		
 5,456,712		10/10/1995	
 5,456,714	OWEN KLEMM	10/10/1995 10/17/1995	

Examiner	Date
Signature	Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁴Applicant is to place a check mark here if English language Translation is attached.

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	Substitute for form	1449B/	PTO	C	omplete if Known	OIPE
	INFORMATION DI	SCLO	SURE	Application Number	09/600,348	<u>~~</u>
	STATEMENT BY	APPLIC	CANT	Filing Date	January 7, 2002 /	Man and The
D,	ate Submitted: Ma	rch 16	2004	First Named Inventor	Gil M. VARDI	MAK 1 6 2004
Date Submitted: March 16, 2004			2004	Group Art Unit	3738	2
(u	ise as many sheets	as nec	cessary)	Examiner Name	Thomas Sweet	City of the state
Sheet	3	of	12	Attorney Docket Number	021617/0203PTU	STRADEMAN

	U.S. PATENT DOCUMENT	rs
U.S. Patent Document		
5,462,530	G. DAVID JANG	10/31/1995
5,489,271	ANDERSON	02/06/1996
5,489,295	PIPLANI et al.	02/06/1996
5,496,292	BURNHAM	03/05/1996
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5,522,801	WANG	06/04/1996
5,531,788	DIBIE et al.	07/02/1996
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5,549,553	RESSEMANN et al.	08/27/1996
5,549,554	MIRAKI	08/27/1996
5,562,620	KLEIN et al.	10/08/1996
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5,613,981	BOYLE et al.	03/25/1997
5,617,878	TAHERI	04/08/1997
 5,626,600	HORZEWSKI et al.	05/06/1997
 5,628,788	PINCHUK	05/13/1997
5,632,762	MYLER	05/27/1997
5,632,763	GLASTRA	05/27/1997
5,632,772	ALCIME et al.	05/27/1997
5,634,902	JOHNSON et al.	06/03/1997
5,639,278	DEREUME et al.	06/17/1997
5,643,340	NUNOKAWA	07/01/1997
5,653,743	MARTIN	08/05/1997

Examiner	Date	
Signature	Consid	ered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO						Complete if Known
	IN	FORMATION DI	SCLO	SURE	Application Number	09/600,348
	SI	TATEMENT BY	APPLI	CANT	Filing Date	January 7, 2002 MAR 1 6 2004
	Data	Submitted: _Ma	rch 16	2004	First Named Inventor	Gil M. VARDI
	Date	Submitted. <u>ivia</u>	ich to	, 2004	Group Art Unit	3738
	(use	as many sheets	as ne	cessary)	Examiner Name	Thomas Sweet
Sheet		4	of	12	Attorney Docket Number	021617/0203PTUS

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	Date Submitted: March 16, 2004				First Named Inventor	Gil M. VARDI	2 3
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STATEMENT BY APPLICANT				Filing Date	January 7, 2002 /	Man 3
	Date Submitted: Ma	arch 16	2004	First Named Inventor	Gil M. VARDI	MAR 1 6 2004
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Sheet	8	of	12	Attorney Docket Number	021617/0203PTUS	RADEMARK

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<sup>1</sup> Unique citation designation number. 2See attached Kinds of U.S. Patent Documents. 3Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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	INFORMATION DIS	CLOSU	RE	Application Number	09/600,348	OIE!
	STATEMENT BY A	PPLICA	NT	Filing Date	January 7, 2002	5/
Date Submitted: <u>March 16, 2004</u> (use as many sheets as necessary)				First Named Inventor	Gil M. VARDI	MAR 18 000 3
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				Examiner Name	Thomas Sweet 3	
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	INFORMATION DIS	CLOS	URE	Application Number	09/600,348	/ c,
	STATEMENT BY A	PPLIC.	ANT	Filing Date	January 7, 2002	¥
_	Date Submitted: Marc	ch 16 1	2004	First Named Inventor	Gil M. VARDI	MAR 1 6 2004
L	ate Submitted. <u>Iviait</u>	CII 10, 2	2004	Group Art Unit	3738	P. J. J.
(	use as many sheets a	as nece	essary)	Examiner Name	Thomas Sweet	(T) C+
Sheet	12	of	12	Attorney Docket Number	021617/0203PTUS	TRADEND

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